

1 Title: Exploring Parents' Sensemaking Processes in the Identification of Developmental Delays  
2 and Engagement with Early Intervention Services

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16 Acknowledgement: This study was supported by a grant from the Delaney Family Foundation.

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## 1 **1. Introduction**

2           Approximately 12% of toddlers (i.e., age 3 and younger) in the United States have  
3 developmental delays, but up to 90% are not identified at the youngest ages possible (American  
4 Academy of Pediatrics, 2018; Rosenberg et al., 2013). Early identification of toddlers who do not  
5 meet key developmental milestones is critical to increase early intervention (EI) service use, and  
6 maximize functional outcomes (Adams & Tapia, 2013; American Academy of Pediatrics, 2006).  
7 Untreated developmental delays can contribute to early school failure and social and emotional  
8 problems (American Academy of Pediatrics, 2006). Efforts to improve early identification of  
9 developmental delays have primarily focused on pediatricians (Ertem et al., 2009), including the  
10 recommendation that pediatricians routinely elicit parental concerns regarding their child's  
11 development (American Academy of Pediatrics, 2001). Although nearly half of parents have  
12 concerns about their child's development, few parents report their pediatrician elicits their  
13 concerns (Adams & Tapia, 2013; Marshall et al., 2015; Woolfenden et al., 2015). Furthermore,  
14 studies documented parents are unaware of standard developmental milestones, and often lack  
15 the language or empowerment to share concerns about their toddlers' development with their  
16 pediatrician (Adams & Tapia, 2013; Woolfenden et al., 2015).

17           Racial, ethnic (Valicenti-McDermott et al., 2012), and socio-economic (Fountain et al.,  
18 2011; Mazurek et al., 2014; Wittke & Spaulding, 2018) disparities exist in screening and  
19 identification of delays, access to care, and participation in EI (Zuckerman et al., 2014). Despite  
20 similar developmental delay prevalence (Boyle et al., 2011), African American/Black (Black)  
21 children are five times less likely to participate in EI services compared to white children at 24  
22 months (Feinberg et al., 2011). Black parents are also more likely than white parents to report  
23 unmet therapy needs (Magnusson & Mistry, 2017). In a study about therapy services for

1 developmental delays, low-income Black mothers reported few meaningful conversations with  
2 their child's pediatrician about developmental concerns or EI services (Magnusson & Mistry,  
3 2017), suggesting a need to further understand differences between Black and white parents'  
4 experience identifying a developmental delay and obtaining EI services.

5 Many systematic factors, often the result of explicit or implicit racial bias (Chapman et  
6 al., 2013; Hall et al., 2015), contribute to these disparities including differences in physician  
7 referrals, insurance coverage, trust in health care providers and the health care system, and  
8 ability to navigate the system (Rosenberg et al., 2008; Zuckerman et al., 2014). These factors are  
9 multifaceted, impact racial minority experience with healthcare in the United States, and may  
10 contribute to the development of cognitions and emotions that affect how parents understand  
11 developmental delays and navigate the EI evaluation and therapy system. For example, Black  
12 parents reported fewer concerns about children's behavior prior to receiving an autism spectrum  
13 disorder (ASD) diagnosis, compared to white parents (Donohue et al., 2017).

14 Exploratory studies about parents' experiences identifying a developmental delay or  
15 obtaining EI services have focused on knowledge of delays (Magnusson & Mistry, 2017;  
16 Marshall et al., 2016), or systemic barriers and facilitators (Marshall et al., 2017). However, it is  
17 well known that information deficit models do not fully capture processes which influence health  
18 behavior (Grimshaw et al., 2001; Marteau et al., 2002) compared with behavioral theory (Glanz  
19 & Bishop, 2010). Yet, few leveraged behavior change theories to understand parents' cognitions  
20 and internal processes. Many health behavior models like the Health Belief Model or Theory of  
21 Planned Behavior view individuals as rational decision makers, neglect dynamic processes, and  
22 fail to provide conceptual explanations for behavior (Cameron & Leventhal, 2003; Leventhal et  
23 al., 1980). Theories like the Common Sense Model (CSM), which focus on cognitive and

1 emotional models associated with uptake and adherence to behavior change (e.g., EI uptake) can  
2 provide additional insight and a more complete explanation of the cognitive processes underlying  
3 parental identification of a developmental delay and EI uptake.

#### 4 *1.2. The Common Sense Model*

5         The CSM is a widely used health behavior theory that provides a framework for a sense-  
6 making process whereby an individual perceives and evaluates a health threat and selects a  
7 response (Hagger et al., 2017; Leventhal et al., 1980; Leventhal et al., 1984). Specifically, the  
8 health threat or stimuli (e.g., information or symptoms) generate a threat schema (i.e., mental  
9 model) based on cognitions and emotions, which an individual uses to inform coping strategy  
10 selection to manage the threat (Leventhal et al., 1992). Both internal (e.g., somatic changes) and  
11 external (e.g., comments from others) stimuli serve as cues to a potential health threat, which  
12 initiates parallel but interconnected cognitive and emotional processes and generates  
13 representations that drive coping strategies and appraisals to monitor coping strategy  
14 effectiveness (Diefenbach & Leventhal, 1996; Hale et al., 2007; Leventhal et al., 1992). Past and  
15 present informational inputs from the environment, social structures, or experiences influence  
16 mental model development and coping strategy selection.

17         Cognitive representations are comprised of five domains: 1) identity – the label or  
18 description of the condition; 2) timeline – whether the condition is acute or chronic; 3) cause –  
19 the instigator of the condition (e.g., genetics, contagion, injury); 4) the potential to control or  
20 cure the condition; and 5) consequence – the emotional, social, financial impact (Diefenbach &  
21 Leventhal, 1996; Leventhal et al., 1992; Leventhal et al., 1980). Although important to the  
22 model, the emotional process of the CSM has received less attention, but includes emotions like  
23 fear, worry, and anxiety, and others known to motivate behavior (Cameron & Jago, 2008).

1 Cognitive and emotional representation are thus believed to be significant determinants of  
2 health-related behaviors and adherence (Diefenbach & Leventhal, 1996).

3         Though initially applied to individual beliefs about one’s own illness, the CSM has been  
4 used to understand parents’ cognitive and emotional representations of children’s health  
5 conditions and pediatric healthcare use (Moran & O’Hara, 2006), including how parents of  
6 children with Attention Deficit Hyperactivity Disorder conceptualize and cope with their child’s  
7 condition (Wong et al., 2018). However, little is known about how parents of toddlers with  
8 developmental delays conceptualize their toddler’s condition. Furthermore, little is known about  
9 differences between Black and white parents’ CSM in this context. Given late identification and  
10 underutilization of EI services, particularly among Black parents, it is crucial to identify  
11 processes that may support parents in the identification of delays and EI service uptake.

12         The CSM is traditionally used to predict how threat schemas foretell coping. However,  
13 over the last few years, Leventhal and colleagues called for a focus on the dynamic processes  
14 that underlie action within the CSM (Leventhal, 2019; Leventhal et al., 2016). Leventhal and  
15 colleagues (2016) suggest, for example, mechanisms that affect self-efficacy, “a well-known  
16 predictor of self-management,” may be an appropriate target. To address these calls, research  
17 recently has begun to focus on understanding how representations are formed, and the processes  
18 that underlie mental models, as doing so will help to inform future research and intervention  
19 development (Leventhal, 2019). For example, scholars noted a lack of focus on social processes  
20 (DeLongis & Morstead, 2019), despite the importance of social contextual factors (e.g., culture,  
21 media influences, conversations with family/friends) in generating the stimuli and mental model  
22 (Leventhal et al., 2016). The current study contributes to this new wave of research by using

1 qualitative methods to identify potential mechanistic targets and explore processes that influence  
2 parents' identification of developmental delays and EI service uptake.

3         Research employing the CSM has largely capitalized on the availability of validated  
4 quantitative measures including the Illness Perception Questionnaire (Weinman et al., 1996), the  
5 Revised Illness Questionnaire (Moss-Morris et al., 2002), and the Brief Illness Questionnaire  
6 (Broadbent et al., 2006). While useful, such methods may oversimplify the processes within the  
7 CSM and obfuscate new ways of theorizing (Revenson & Diefenbach, 2019). In particular,  
8 methods that allow for greater understanding of emotional content can provide insight to the  
9 influence of emotion and the interactions between cognitive and emotional representations  
10 (Revenson & Diefenbach, 2019). A qualitative descriptive approach using the CSM to guide the  
11 exploration of participants' narratives may identify additional processes worthy of further  
12 investigation (Sandelowski, 2000; Thorne et al., 1997). Consistent with the cognitive models that  
13 underpin the CSM, personal narratives, like those elicited through qualitative methods, integrate  
14 past and present experiences and perceptions to create the epistemological frameworks and  
15 structures from which an individual makes sense of the world (Petraglia, 2007).

16         Utilizing the CSM as a framework, this qualitative study explored the cognitive and  
17 emotional representations of Black and white parents of toddlers with developmental delays who  
18 received EI services. The goals of this study were to identify the framework used by parents to  
19 engage with EI services, explore differences between Black and white parents' frameworks, and  
20 identify any underlying processes that may prove fruitful for future CSM research. We  
21 intentionally explored exceptional cases, which is to say, parents who obtained EI services. By  
22 understanding more about exceptional cases, or those who adopt the desired health behavior, we

1 may be better able to predict the processes and inputs required to achieve outcomes of behavior  
2 change and adherence (Leventhal et al., 2016).

## 3 **2. Methods**

### 4 *2.1. Study design and sample*

5       Following IRB approval at our University, twenty semi-structured in-depth individual  
6 interviews were conducted between July 2017 and January 2018. We used a non-probability  
7 based purposeful sampling strategy (Patton, 2015) to recruit 50% Black and 50% white  
8 participants through our institution's Early Intervention Research Group (EIRG) registry, posts  
9 on the EIRG's website and social media pages, and flyers distributed to existing network of  
10 patients and clinical partners (e.g., pediatric offices, therapy providers, and daycare centers).

### 11 *2.2. Procedure*

12       Parents had the option to join the EIRG Research Registry or contact the study team via  
13 email or phone. Potential participants received an introductory letter with information about the  
14 study and, if interested, a screening survey to assess eligibility administered via Research  
15 Electronic Data Capture (REDCap; Vanderbilt University). Eligibility criteria for this study  
16 included parents: 18 years or older; English-speaking; self-identified as Black or white, non-  
17 Hispanic; had a toddler identified with a developmental delay between 18 and 35 months; and  
18 were referred to and received, or were receiving, EI services (Table 1).

19       Sixty-one (95%) parents were initially screened for eligibility. Of the 31 (51%) who met  
20 eligibility criteria, two were lost to follow-up, and three were eligible after thematic saturation  
21 was achieved and quotas for race were met, and therefore did not participate. Twenty-six  
22 participants completed interviews: five who did not receive EI services were excluded from this

1 study, and one was deemed ineligible after the interview was complete, which resulted in a final  
2 sample of 20 participants (see Figure 1) <INSERT FIGURE 1 ABOUT HERE>.

3 One of three study team members trained in qualitative methods conducted the  
4 interviews, with at least one note taker present. The interviewer obtained verbal informed  
5 consent prior to each interview. Interviews lasted on average 50 minutes (range 31-72 minutes),  
6 were digitally recorded, professionally transcribed, and reviewed by study team members for  
7 accuracy and to ensure all names were replaced with pseudonyms. The principle investigator  
8 reviewed interviewer notes and transcripts to determine when thematic saturation was achieved.  
9 Participants received a \$100 gift card in compensation for their time.

### 10 2.3. Data collection

11 The semi-structured interview guide was grounded in the CSM and included questions  
12 about parents' experiences identifying the delay (*e.g., When did you first notice something was*  
13 *different about your child's development?*), interaction with their toddler's pediatrician (*e.g., Can*  
14 *you please tell me what happened when you spoke with your child's doctor?*), receiving a referral  
15 to intervention services and obtaining and/or completing therapy (*e.g., Can you tell me about the*  
16 *referral process to EI therapy services?*), beliefs about the cause of the delay (*e.g., What do you*  
17 *think caused your child to develop this condition?*), emotions and support during the process  
18 (*e.g., How did learning about your child's developmental delay make you feel?*), expectations for  
19 their toddler's future (*e.g., What does this diagnosis mean for your child?*), and satisfaction with  
20 the referral, evaluation, and therapy process (*e.g., How did you feel about the entire process from*  
21 *your first inclination that something was different in your child's development to where you are*  
22 *today?*). Sociodemographic information about parents and their toddlers was captured at the end  
23 of the interview.

## 1 2.4. Data analysis

2 Coded transcripts were entered into MAXQDA version 12 (VERBI GmbH Software,  
3 Berlin, Germany) for analysis and data management. Descriptive statistics were conducted for  
4 sociodemographic data collected during the interview using SPSS 25 (Table 1; IBM Corp.,  
5 Armonk, New York).

6 A directed content analytic approach was implemented, as the goal was to examine  
7 emergent themes from within the domains and structure of the CSM to further explore and  
8 understand the framework itself (Hsieh & Shannon, 2005). Consistent with this approach, three  
9 study team members identified key concepts or variables as initial coding categories using the  
10 CSM to facilitate the development of operational definitions. Guided by the CSM framework,  
11 the initial seven categories included: 1) the stimulus, which triggered parent awareness that  
12 something was different about their child's development, 2) identity, 3) timeline, 4) cause, 5) the  
13 potential to control or cure the condition, 6) consequence, and 7) emotional representations  
14 (Cameron & Jago, 2008; Diefenbach & Leventhal, 1996; Leventhal et al., 1992; Leventhal et al.,  
15 1980). Three study team members independently applied the codes to the transcripts and used a  
16 consensus based approach to coding all transcripts, whereby they met to discuss every two  
17 transcripts to resolve discrepancies and refine the coding scheme. During this process,  
18 pediatrician response to parental concerns was identified as an important theme that could not be  
19 coded into the initial categories and was subsequently added to the coding scheme.

## 20 3. Results

### 21 3.1. Sample characteristics

1           Sample characteristics for parents are summarized in Table 1. <INSERT TABLE 1  
2 ABOUT HERE>. Sample characteristics about their children are summarized in Table 2.  
3 <INSERT TABLE 2 ABOUT HERE>.

### 4 *3.2. Qualitative themes based on CSM domains*

5           We initially sought to identify differences between Black and white parents, however,  
6 few emerged. The term parents refers to both Black and white parents. Race is only explicitly  
7 included in the description when differences were identified. Exemplar quotes include  
8 parenthetical information about parents' race (i.e., BP = Black parent; WP = white parent), but  
9 should not be interpreted as a belief from one group and not the other, unless explicitly  
10 mentioned in the text. We intentionally use the term delay, instead of diagnosis. We recognize  
11 that clinical diagnosis is used by a pediatrician when a delay is documented. However, parents  
12 understood the process the identification of a delay (not diagnosis) first, until a more specific  
13 medical diagnosis (e.g., ASD) is provided, if ever. We present the themes from the qualitative  
14 analysis below and in the framework depicted in Figure 2 <INSERT FIGURE 2 ABOUT  
15 HERE>.

#### 16 *Situational stimuli*

17           Situational stimuli was defined as parents' description of signs and/or symptoms  
18 indicating something was different about their toddler's development. In most interviews ( $n =$   
19 16), parents were the first to notice. In the remaining four cases, all of which were Black parents,  
20 the pediatrician was the first to raise concerns about the toddler's development. Parents' whose  
21 pediatrician raised concerns engaged in retrospective sense-making when asked to describe what  
22 they noticed was different about their toddler's development. They often described milestones  
23 their toddler had not achieved. For parents who noticed a difference in their toddlers'

1 development before the pediatrician, three sources alerted them: a gut feeling, personal  
2 observations, and concerns voiced by family members, friends and/or teachers.

3 First, parents reported a gut feeling or just knowing something was different. In many  
4 cases, parents observed unusual or atypical behavior in their toddler over time, but were  
5 uncertain if the behavior was something to be concerned about. “Something is going on with this  
6 boy. I said, okay, it just kept happening and happening and happening...I just figured something  
7 wasn’t right...I just couldn’t put my finger on it. You know?” (BP03). Parents described how  
8 their toddler experienced frequent tantrums, frustration, and agitation. Parents also noted changes  
9 in behavior or developmental regression. Speech delays seemed easiest for parents to identify  
10 and label, but parents’ lacked language to describe or label what was happening for cognitive,  
11 adaptive, or fine or gross motor delays beyond a feeling. “There was nothing specific...she just  
12 didn’t seem as engaged. She was very alert and observant, but not as engaged as I thought she  
13 maybe could’ve been” (WP08).

14 Second, parents observed their toddlers’ failure to meet specific milestones. Some  
15 identified differences in their toddlers’ development by comparing observations of their toddler’s  
16 behavior with that of a sibling or other toddlers: “When we first started seeing it for ourselves, he  
17 wasn’t making more sounds as other children have made” (WP09). Others observed their  
18 toddlers’ failure to meet milestones based on a checklist from their pediatrician or information on  
19 parenting websites.

20 Lastly, observations and feedback from family, friends, or teachers alerted parents to a  
21 problem, or supported their concerns regarding their toddlers’ development. However, some  
22 described how family, friends, and teachers minimized their concerns. In these cases, parents  
23 reported reappraising the situation and continued to monitor their toddler’s development.

1 Teachers would say, ‘Oh, he’s okay. Things are harder for him but it could just be  
2 because he’s a boy.’ I think that those comments from other people caused my husband  
3 and I to wait even longer before we pursued the early intervention because we thought,  
4 okay, maybe people are right. (WP05)

5 “Boys develop slower” than girls was often used as a rationale by family, friends, teachers, and  
6 even pediatricians as a reason to not worry or, ‘wait-and-see.’

### 7 *Pediatrician response to parental concerns*

8 When parents discussed concerns with their pediatrician, two overarching responses  
9 emerged: the pediatrician would provide an EI referral, or suggest a ‘wait-and-see’ approach.  
10 Pediatricians who supported or shared parents’ concerns would conduct a clinical evaluation,  
11 which led to EI referral, or would simply provide a referral.

12 She sat down and she talked to him a little bit and I said, ‘Oh, what about the speech?’

13 And she said, ‘Yeah, I would call about the speech. He seems to not be where we want  
14 him to be right now.’ (WP05)

15 Just under half ( $n = 7$ ) reported their pediatrician encouraged a ‘wait-and-see’ approach.

16 Pediatricians often normalized different rates of development in the discussion. “Every time I  
17 brought it up to my pediatrician, my pediatrician did not think it was an issue. Every time they  
18 said, ‘kids develop differently, some have more than others, give it time’” (WP04). When parents  
19 were told by the pediatrician to wait, some re-evaluated their concerns, “I’d leave [the  
20 pediatrician’s office], and I’d feel better” (WP10). Others felt dismissed or unheard, particularly  
21 if they discussed their concerns more than once. In cases when parents brought up their concerns  
22 at multiple appointments, most pediatricians would re-evaluate and agree with the parent or

1 acquiesce to their request and provide a referral. One white parent realized she did not need her  
2 pediatrician's referral and called EI directly.

3 *Parents' cognitive representation of the developmental delay*

4 *Identity* - Identity refers to how parents labeled, named, or described their toddler's  
5 developmental delay. When asked what their toddler was diagnosed with, parents clarified that  
6 EI does not provide a medical diagnosis; they only provide information about developmental  
7 delays. In this study, seven toddlers (five Black and two white) went on to receive an ASD  
8 diagnosis. Parents described their toddlers' initial evaluation as providing information about the  
9 type of delay they had, often labeling the delay as the intervention they qualified for, for  
10 example, "speech," "occupational" or "developmental." Parents described each delay in more  
11 detail. Speech delays were described as lacking language or having challenges with expressive  
12 language, occupational delays as challenges with sensory or fine or gross motor skills, and  
13 developmental delays as not being able to do the things most toddlers can do at that age. Some  
14 parents, mostly white parents, described the percentage delay their toddler was diagnosed with:  
15 "she was delayed, I want to say 30 or 40%" (WP03). Learning about the delay often gave parents  
16 insight into their toddlers' other behaviors, like frustration, agitation, tantrums, or acting out.

17 Differences in learning or functioning were also described. Parents emphasized their  
18 toddler was not diminished in learning or ability, and often described the delay as a challenge or  
19 struggle to overcome when learning new things. "So he's capable of learning things, and I think  
20 he is very intelligent, it's just a struggle for him to get through that initial phase of learning  
21 something" (WP02). Parents whose toddler did not have an ASD diagnosis at the time of the  
22 interview ( $n = 13$ ) were more likely to describe the delay as a "little delay" or explain that their  
23 toddler "needs a little help" to learn.

1           Interestingly, some shared their original perception of what a developmental delay meant  
2 before they obtained services, which often was illustrative of severe cases of ASD or even  
3 Down's Syndrome. Prior to their exposure to EI services, parents were unaware developmental  
4 delays could range from mild to severe, and that some delays could be overcome through  
5 therapy.

6           *Cause* - Cause refers to parents' perceptions of what was responsible for their toddler's  
7 delay. Many parents reported feeling responsible and questioned whether they could have caused  
8 or prevented the delay. Some parents stated they just did not know, "there is no answer to that  
9 question" (WP12). Many parents speculated the causes could be environmental, lifestyle,  
10 hereditary, or something kids are "just born with." Possible environmental causes included  
11 prenatal exposures, preterm delivery or other complications at birth, instability in their home  
12 environment, and vaccines. "I'm like, was it the shot [MMR]? Or was it... the [cleaning]  
13 chemicals that I was spraying?" (BP03). Of those who shared the belief vaccines may have  
14 caused the delay, three Black parents had a toddler diagnosed with ASD, and one white parent  
15 had a toddler who had not received an ASD diagnosis. Parents also described lifestyle factors  
16 including not paying enough attention to the toddler or not encouraging their toddler to speak.

17           We put a lot of attention on her. Without her even saying anything, we knew it, like, 'Do  
18 you want this?' We often times didn't let her speak, not didn't let her speak, but  
19 anticipated her needs before she even had to speak (BP10).

20 Parents who could identify family members with similar delays were confident in their belief  
21 about a hereditary cause for the delay. "I think it was the dad. I really believe it's something in  
22 his gene pool" (BP09). Aside from parents who believed the delay was hereditary, most parents  
23 responded with more than one possible cause, indicating ongoing uncertainty.

1           *Controllability/cure* - Controllability or cure refers to the types of interventions the  
2 toddler received, the outcomes of the intervention, and the timeline for receiving therapies.  
3 Parents described the therapies their toddler participated in through EI including speech,  
4 developmental, physical, and occupational. In addition to the state-run EI therapies, some parents  
5 sought out private and/or group therapy.

6           Parents described how therapy provided toddlers with different strategies, “She  
7 [therapist] gave him something to do with his hands, like a sign language thing cause he couldn’t  
8 talk” (BP03). Therapy also provided strategies for the parent to more effectively relate and  
9 interact with their toddler by providing structure or a framework for interactions. “Those sessions  
10 were incredibly helpful to us, and they were helpful for us to learn what we needed to do at home  
11 and how we needed to speak to her and play with her and work with her” (WP03). Many  
12 described working on therapy at home with their toddler by incorporating strategies they learned  
13 through EI, their playgroups, support networks, or other parents.

14           In most cases, parents felt therapies were helping their toddler and described  
15 improvements in their toddler’s behaviors. Some parents noticed changes in their toddler  
16 immediately, “Once she started early intervention, I would say like within a month. I’ve seen a  
17 drastic change. As far as...her speech and everything. She just really took off” (BP08). For others  
18 it took some time. Parents often described the progress reports shared by their therapists as a way  
19 to track their toddlers’ progress and as evidence therapy was helping. A couple of parents did not  
20 believe the therapies were having a noticeable impact on their toddler. “I really didn’t see a  
21 difference in anything. I feel like she’s progressing at the rate she would have probably  
22 progressed without the therapy” (BP07). Nevertheless, these parents continued with therapy.

1           When asked if they believed their toddler started intervention early enough, a dialectical  
2 tension was present. Most agreed their toddler started at the right time, but wished they had  
3 noticed the delay earlier, “I think it was about the right time. I wish ... I still think I wished I  
4 would have done...you know, noticed he wasn’t speaking a little bit earlier. But I think it was an  
5 appropriate time” (BP10). Particularly after seeing the results, parents recognized the value in  
6 beginning therapy as early as possible.

7           *Timeline* - Timeline, or parents’ beliefs about how long their toddler will have the delay,  
8 was either acute, uncertain, or chronic. Interestingly, there were no differences between parents  
9 with a toddler who was diagnosed with ASD and those whose toddler was not. Parents who  
10 believed the delay was acute explained that their toddler would age out of therapy or be  
11 “mainstreamed” in school, meaning their development was age-appropriate.

12           Other parents were uncertain about an expected timeline. Uncertainty often led to hope  
13 for improvement, however, expectations about the degree of improvement varied. For some, they  
14 hoped their toddler could be mainstreamed within a couple of years. “I hope he can outgrow it. I  
15 don’t know what to expect, actually. I like to have hope, though, that he can get better or  
16 outgrow it” (BP05).

17           Others believed the condition was chronic, or something their child would deal with for  
18 the rest of their life. In these cases, parents hoped their toddler could develop coping strategies.  
19 “Hopefully, he’ll find the ways to help himself to be in situations that make him uncomfortable  
20 or to increase his focus or whatever he needs moving forward. I definitely think it will be  
21 lifelong” (WP05).

22           *Consequences* - Consequences refer to the physical, psychological, social, educational, or  
23 financial impact of the condition. A few parents, and in particular those who believed the delay

1 was acute, described it as a “little minor bump” (WP03), with no significant consequences.  
2 However, most parents were speculative about expected outcomes. Most focused on the different  
3 types of support their toddler would need, “I just need to be more patient with them and  
4 understand that they develop at their own rate” (BP09). In a few cases, parents described how  
5 their expectations or aspirations for their child had changed. “For your child, you just have this  
6 perfect picture of how everything is going to go and then when things don’t go that way it’s hard  
7 to accept it and try something different” (BP08). A few parents described the cost of additional  
8 therapies and, sometimes, long term care. “We don’t really know where he’s going to be in five  
9 years... It means a lot of expensive bills, a lot of therapy, a lot of time” (WP12). Parents also  
10 explained how their concerns changed. “I was concerned about just him having relationships  
11 with his peers, but it’s gotten much better over the years” (BP10). When discussing the  
12 consequences of their toddlers’ delay, parents shared their goals and hopes for their toddler,  
13 despite uncertainty about their developmental trajectory.

#### 14 *Parents’ emotional representation of the developmental delay*

15 Consistent with the parallel process in the CSM, parents’ emotional response unfolded  
16 with the process of identifying and confirming a delay, and entering therapy. When parents  
17 initially thought something was developmentally atypical for their toddler, many described  
18 feeling sad, upset, or emotional, especially if they were not expecting it. “I wasn’t upset. I was  
19 super emotional...it was like, just new to me that I had a child that was different” (BP02).  
20 Sometimes parents had difficulty accepting the delay, which caused emotional stress, especially  
21 when they believed they could have been the cause. Others viewed the identification of the delay  
22 as a positive or relief-inducing event. Many explained identifying the delay and having a plan  
23 helped them cope emotionally because they were able regain control when they previously felt

1 out of control and were experiencing significant uncertainty, “I mean I don’t feel bad about the  
2 situation anymore, I feel very hopeful especially since he’s made progress, it’s been such a  
3 positive experience” (WP02).

#### 4 **4. Discussion**

5 This qualitative study used the CSM to understand the process by which Black and white  
6 parents’ concerns about their toddler’s development led to EI service uptake. Few meaningful  
7 differences emerged between Black and white parents’ mental models. By exploring parents’  
8 narratives, we identified additional nuance in the CSM’s theoretical domains and processes.  
9 First, we present theoretical insights, and follow with practical implications.

##### 10 *4.1 Theoretical insights*

11 Leventhal and colleagues encouraged additional work examining the processes  
12 underlying the CSM domains (Leventhal, 2019; Leventhal et al., 2016). In this study, we  
13 identified several potential underlying constructs, and provide new ways to theorize about the  
14 mutual influence of cognition and emotion.

15 Orbell and Phillips (2019) recently reviewed the potential contributions of automatic  
16 processes (i.e., heuristics) within the CSM. Experiences like gut feelings identified in this study  
17 are heuristics, made up of “hidden rules of thumb underlying intuition”(Gigerenzer, 2007). In  
18 addition to expanding existing work on heuristics, uncovering hidden operations in parent’s gut  
19 feelings may uncover new ways to identify developmental delays earlier. Furthermore, research  
20 utilizing the CSM consistently finds initial cognitive representations, often established via  
21 heuristic reasoning, are based on an acute illness prototype (Leventhal et al., 2016). The default  
22 to an acute model is especially likely in younger individuals with no previous health conditions  
23 (Leventhal, 2019), and may explain why family, friends, teachers, or pediatricians invalidate

1 parents' concerns or encourage a 'wait-and-see' approach in this study and others (Jimenez et al.,  
2 2012; Sices et al., 2009).

3 We identified interesting insights in the transition points in the model, for example, the  
4 transition from stimuli to representation. Consistent with predictions about the model (Leventhal  
5 et al., 1980; Leventhal et al., 1984), parents re-evaluated the stimuli when friends, family,  
6 teachers, and pediatricians minimized their concerns. In addition to the impact of social  
7 processes, Leventhal (2016) suggested additional dynamics, such as self-efficacy, may influence  
8 transitions in the CSM. In addition to self-efficacy, the persistence demonstrated by parents  
9 repeatedly raising their concerns suggests grit (Duckworth et al., 2007) could be a variable for  
10 consideration in future research.

11 Prior studies focused on the impact of representations on coping as the endpoint, but not  
12 on the evaluation of coping strategies (Benyamini & Karademas, 2019). The evaluation of  
13 coping strategies is directly related to adherence; therefore, this is a significant shortcoming. In  
14 this study, parents' reflection on their toddlers' development through goal setting and feedback  
15 reports provided by therapists played an important function in parents' evaluation of the  
16 therapies success (coping). Future research should explore whether goal setting and tracking  
17 improvements over time by tying coping strategies (e.g., therapies) with outcomes (e.g.,  
18 developmental milestones) can impact adherence in this context and others.

19 Most CSM studies have focused on cognitive pathways and neglected emotional  
20 representations (Revenson & Diefenbach, 2019). Infused throughout parents' narratives was a  
21 theme of uncertainty and hope. Uncertainty has been defined as the "subjective perception of  
22 ignorance" (Han et al., 2011), which in this study resulted from ambiguity, or a lack of  
23 knowledge about the trajectory of their toddlers delay. Such ambiguity has recently been linked

1 with the use of ambiguous terms, such as “delay” in the context of a developmental impairment  
2 (Grech, 2019). Parents describe what Han and colleagues (2011) refer to as scientific uncertainty  
3 about *causal* explanations or *prognosis*, and personal uncertainty about the *consequences* of the  
4 delay on their child’s welfare. Although uncertainty is frequently viewed as undesirable (Han et  
5 al., 2011), theorizing on uncertainty has encouraged views of uncertainty as an opportunity  
6 (Babrow & Kline, 2000). In particular, Brashers’ (2001) work on uncertainty management  
7 explicitly links uncertainty with hope—a link consistent with parents’ emotional and cognitive  
8 experiences in this study. In particular, uncertainty about the timeline and consequences  
9 contained frequent references to hope. As such, further study of the interactions between hope  
10 and uncertainty within the domains of the CSM may provide one avenue through which  
11 theoretical clarification regarding the relationship between cognitive and emotional  
12 representations can be expanded. The identification of cognitive domains that promote favorable  
13 coping with uncertainty compared with those that promote maladaptive coping could support  
14 recommendations for uncertainty management.

#### 15 *4.2. Practical implications*

16 Examining parent’s mental models highlights several practical implications for healthcare  
17 providers including reconsidering the ‘wait-and-see’ approach, and how to talk with parents. In  
18 this sample, all except four Black parents were the first to identify their toddler’s delay.  
19 Consistent with findings from earlier studies (Marshall et al., 2017; Marshall et al., 2016) parents  
20 in this study were alerted to problems through a combination of observations of their toddler  
21 compared with others and developmental milestones, and others’ appraisals of their toddler’s  
22 development. Unique to this study, parents described a gut feeling or just knowing something  
23 was different. Aside from speech delays, parents had difficulty verbalizing their concerns, which

1 means physicians need to be aware additional probing may be necessary. It may be useful for  
2 pediatricians to encourage parents to use validated developmental screening tools, such as the  
3 Centers for Disease Control and Prevention’s “*Learn the Signs. Act Early*” materials or mobile  
4 phone application.

5         Once parents raised their concerns with their pediatrician, many were encouraged to  
6 ‘wait-and-see.’ However, parents in this study reported having observed their toddler for some  
7 time before discussing it with their pediatrician. Early identification and treatment is critical to  
8 maximizing outcomes (Adams & Tapia, 2013; American Academy of Pediatrics, 2006), and  
9 state-funded EI services are only available to children under age three. Therefore, the ‘wait-and-  
10 see’ approach should be carefully considered. Although parents can call EI directly, parents in  
11 this study perceived the pediatrician as a gatekeeper to services (Sices et al., 2009). Asking the  
12 parent how long they have had the concern and who else they have discussed their concerns with  
13 may elicit additional information that could inform whether a ‘wait-and-see’ approach or referral  
14 is more appropriate.

15         Better understanding the mental frameworks of parents’ who successfully enrolled in EI  
16 services may provide guidance for how to talk with parents referred to EI services. For example,  
17 once toddlers were enrolled in EI services, parents articulated the delay as the type of services  
18 they received and often described the delay in terms of a difference in learning or needing extra  
19 support. Healthcare providers may consider adopting parents’ language.

20         Guilt may be felt prior to or while obtaining EI services. Parents were uncertain about the  
21 cause, and unique to our study, uncertainty was linked to feeling guilt they might have done  
22 something to cause the delay. On the other hand, some parents described feeling guilty when  
23 receiving EI therapy, upon learning how their interactions facilitate or impede development.

1 Pediatricians should be aware of this guilt as it may prevent parents from raising concerns, and  
2 may explain why parents do not pursue EI services when recommended. Describing therapies as  
3 supporting parents' interactions with their toddler and giving their toddler tools to cope may  
4 alleviate parents' guilt and uncertainty about what to expect from EI. Therapists may want to  
5 reassure, encourage and support parents as they process these feelings.

6 Parents who were uncertain about how long the delay would last, or believed it was a  
7 chronic condition described re-evaluating their expectations for their child. Uncertainty was  
8 infused throughout discussions of timelines and consequences, and was connected with hope.  
9 Parents sometimes described the initial identification of a delay as a negative emotional  
10 experience, but parents quickly re-evaluated the situation and focused on a plan to help their  
11 toddler. Realignment from emotion focused coping (i.e., regulating negative emotions) to  
12 problem focused coping (i.e., managing the health threat) is a hallmark of functional coping, and  
13 as we saw in this study, promoted uptake and adherence to recommended behaviors (Cameron &  
14 Jago, 2008). Providers may want to emphasize how entering toddlers into therapy can help  
15 parents manage uncertainty, gain a sense of control and cope with the delay. Parents who  
16 continue to engage in emotion focused coping (e.g., denial, avoidance) may need referral to  
17 counseling services.

#### 18 *4.3 Limitations*

19 All participants in this study received EI intervention services, therefore limited claims  
20 can be made regarding the impact of CSM dimensions on coping strategy selection. All  
21 participants were drawn from a large metropolitan area and surrounding suburbs, and the relative  
22 socioeconomic status of this sample is high compared to the general population. Those of lower  
23 socioeconomic status are more reluctant to discuss concerns about their toddler's developmental

1 delay with their pediatrician (Marshall et al., 2016). Black parents in this sample had lower  
2 educational attainment and lower income compared with white parents. This difference was not  
3 intentional, but should be noted. A prior study found an interaction between race and SES to  
4 impact children's health outcomes (Chen et al., 2006). Although not the focus of this study,  
5 future studies should examine the potential interaction between race and socioeconomic status in  
6 the identification of developmental delays. Finally, as parents were recalling their experience  
7 obtaining EI services, recall bias may influence their description.

## 8 **5. Conclusion**

9 Early identification of developmental delays during toddlerhood, and timely intervention  
10 is essential to improve functional outcomes and reduce need for long-term treatment and the  
11 associated long-term costs (Adams & Tapia, 2013). This study provides several directions for  
12 future research using the CSM. First, additional explorations of key transitions between stimuli  
13 and cognitive and emotional representations and between behavior and adherence are needed.  
14 This study suggests that examining state and trait influences, like self-efficacy and grit, may help  
15 identify those more likely to adapt and adhere to coping strategies. Furthermore, messages that  
16 emphasize goal setting and link coping with outcomes may provide feedback, which facilitates  
17 coping strategy evaluations and promotes adherence. Finally, future research should consider  
18 contributions of existing theories on uncertainty to explain the link between cognition and  
19 emotion and their impact on adherence.

20 Future studies should examine links between CSM constructs identified in this study,  
21 parents' sharing of concerns with their pediatrician, and EI service uptake. Additional research  
22 should examine the CSM of parents of children who were identified with a developmental delay  
23 at an older age to learn more about their cognitive and emotional representations, and to identify

- 1 differences compared to those who received EI services. Differences in parents' cognitions and
- 2 emotions who obtain EI services and those who do not will provide insight to promote parental
- 3 identification of delays, parent-provider discussions, and ultimately, EI service uptake.

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4

**Table 1**  
Parent Sample Characteristics, by Race

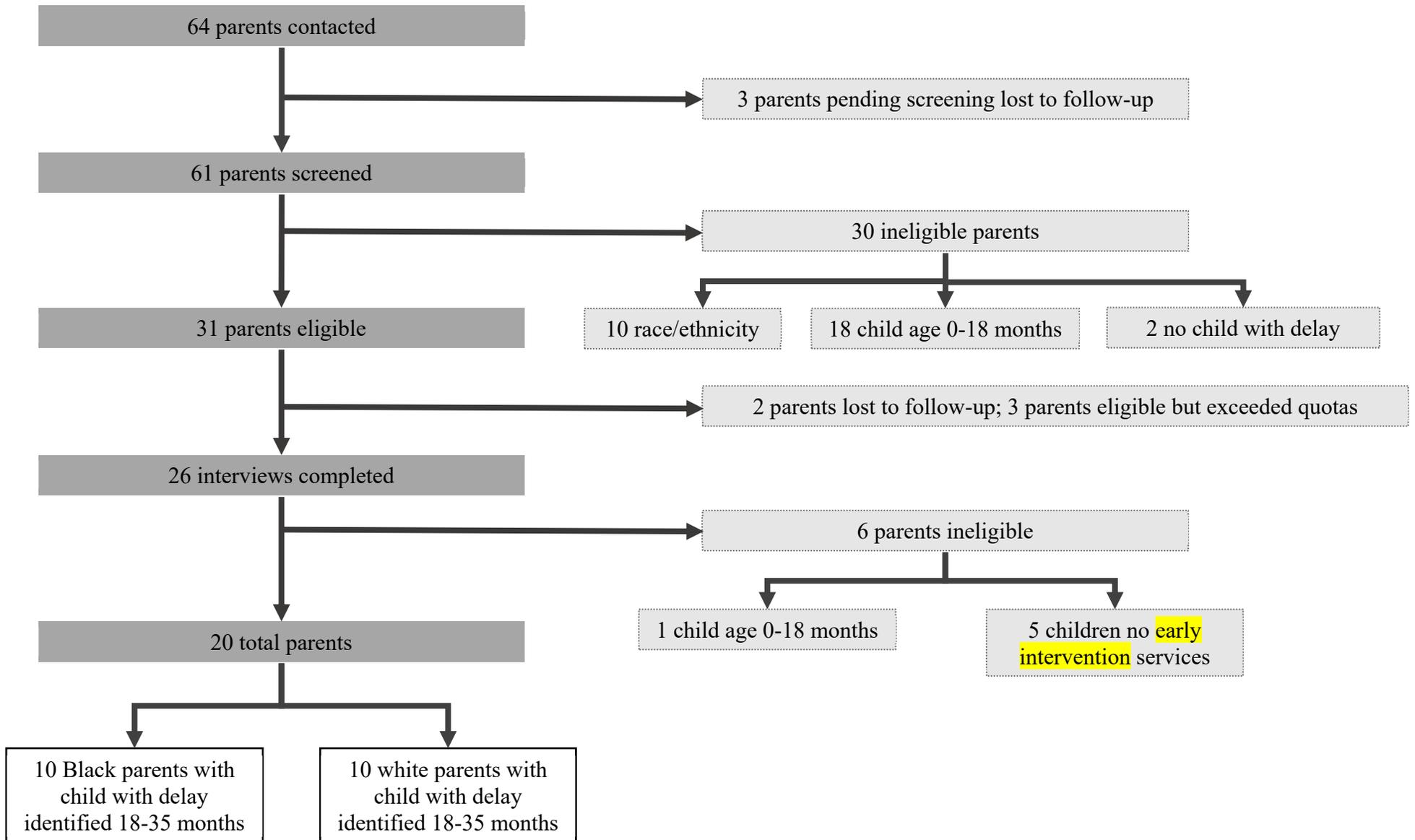
Characteristics	Total ( <i>N</i> = 20)	Black ( <i>n</i> = 10)	white ( <i>n</i> = 10)
Parent Age <i>M</i> , [ <i>SD</i> ]	35.9 [5.6]	35.8 [5.9]	36.1 [5.5]
Parent Sex			
Female	20 (100)	10 (100)	10 (100)
Education			
< High School	1 (5)	1 (10)	0 (0)
High School Graduate	2 (10)	1 (10)	1 (10)
Some College (or certificate)	3 (15)	3 (30)	0 (0)
College Graduate	10 (50)	5 (50)	5 (50)
Post-graduate (MA/S, PhD, MD)	4 (20)	0 (0)	4 (40)
Income			
< \$45,000	5 (25)	4 (40)	1 (10)
\$45,000-\$89,000	4 (20)	2 (20)	2 (20)
> \$90,000	10 (50)	3 (30)	7 (70)
Prefer not to answer	1 (5)	1 (10)	0 (0)
Employment Status			
Full-time	8 (40)	4 (40)	4 (40)
Part-time	2 (10)	0 (0)	2 (20)
Homemaker	7 (35)	3 (30)	4 (40)
Unemployed	3 (15)	3 (30)	0 (0)
Health Insurance Status			
Private through workplace	15 (75)	5 (50)	10 (100)
Medicare/Medicaid	5 (25)	5 (50)	0 (0)
Relationship Status			
Married/Domestic Partner/Civil Union	13 (65)	3 (30)	10 (100)
Single	6 (30)	6 (60)	0 (0)
Living with Partner	1 (5)	1 (10)	0 (0)

*Note.* Unless otherwise noted, variables are presented as *n* (%).

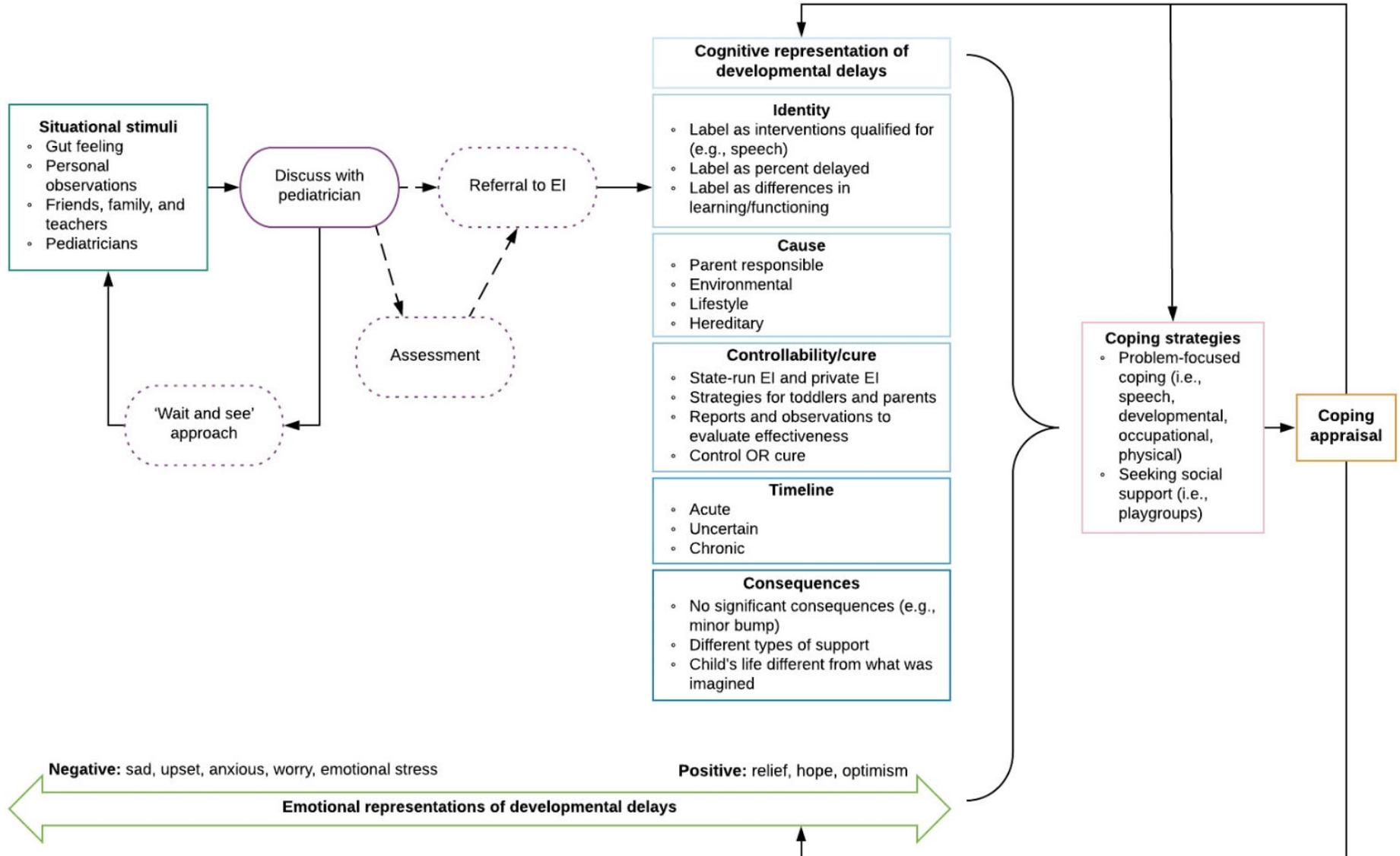
**Table 2**  
Child Characteristics and Type of Developmental Delay, by Race

Characteristics	Total ( <i>N</i> = 20)	Black <sup>a</sup> ( <i>n</i> = 10)	white <sup>a</sup> ( <i>n</i> = 10)
Number of Children <i>M</i> , [ <i>SD</i> ]	2 [0.9]	2 [1.1]	2 [0.7]
1	6 (30)	4 (40)	2 (20)
2	9 (45)	3 (30)	6 (60)
3	4 (20)	2 (20)	2 (20)
4	1 (5)	1 (10)	0 (0)
Number of Children Identified with a Developmental Delay <sup>b</sup>			
1	16 (80)	8 (80)	8 (80)
2	3 (15)	2 (20)	1 (10)
3	1 (5)	0 (0)	1 (10)
Birth Order of Child with Developmental Delay			
First	15 (75)	7 (70)	8 (80)
Second	3 (15)	2 (20)	1 (10)
Third	2 (10)	1 (10)	1 (10)
Type of Delay <sup>c,d</sup>			
Speech and Language	20 (100)	10 (100)	10 (100)
Occupational	15 (75)	9 (90)	6 (60)
Physical	2 (10)	1 (10)	1 (10)
Developmental	11 (55)	6 (60)	5 (50)
Autism Spectrum Disorder (ASD) Diagnosis <sup>e</sup>			
Yes	7 (35)	5 (50)	2 (20)
No or Unknown	13 (65)	5 (50)	8 (80)
Child Sex			
Male	14 (70)	7 (70)	7 (70)
Female	6 (30)	3 (30)	3 (30)
Age Child Identified in Months <i>M</i> , [ <i>SD</i> ]	21.5 [3.9]	22.3 [4.3]	20.8 [3.6]
Time since Identified in Months <i>M</i> , [ <i>SD</i> ] <sup>f</sup>	35.3 [53.9]	49.2 [74.7]	21.5 [11.6]

*Note.* Unless otherwise noted, variables are presented as *n* (%).<sup>a</sup> Race is based on parent racial identity. <sup>b</sup> Parents were asked to think about and discuss the first child identified with a developmental delay. In this sample, one parent had twins identified at the same time. <sup>c</sup> Children could be identified with more than one delay. <sup>d</sup> Type of delay includes both the developmental delay a child was identified with as well as the therapies a child received. Parents were asked the name of the diagnosis or delay their child was identified with and some responded with the type of therapies the child received instead. <sup>e</sup> Parents were not asked explicitly about Autism Spectrum Disorder, however some parents stated their child was diagnosed after receiving an Early Intervention evaluation and/or completing Early Intervention therapy. <sup>f</sup> Number of months since child identified with developmental delay at time of interview.



**Fig. 1.** Recruitment Process. This figure illustrates the recruitment process in this study.



**Fig. 2.** Parents' Common Sense Representations of Developmental Delays Model. This figure models pathways and processes associated with identifying a toddler and receiving early intervention. The solid lines in this figure indicate parent decision or action.

Dotted lines indicate pediatrician decision or action. Boxes represents CSM constructs. Ovals represents action based on pediatrician's response.